



Outlook

Re: Show Cause Notice DC-FY-2026-05199

From Sunil Kumar Behera <sunilkumar.behera@spandanasphoorty.com>

Date Fri 03/07/2026 12:27

To DAPS Admin <dapsadmin@spandanasphoorty.com>

Cc Dalpreet Kaur <dalpreet.kaur@spandanasphoorty.com>; Prasanta Kumar Sahoo <prasantakumar.sahoo@spandanasphoorty.com>; Ranjeeta Gupta <ranjeeta.gupta@spandanasphoorty.com>; Santosh Kumar Sahoo <santosh.sahoo@spandanasphoorty.com>; Abhimanyu Pradhan <abhimanyu.pradhan@spandanasphoorty.com>

To
The HR Manager
Spandana Sphoorty Financial Limited

Subject: Explanation in Response to Show Cause Notice Dated 01-07-2026

Dear Sir,

I, Sunil Kumar Behera (Employee ID: SF0029643), am working as an Area Head in the Bhadrak Area. I am writing this letter in response to the Show Cause Notice issued to me on 01-07-2026, wherein allegations of supervisory failure under non-financial misconduct have been made against me. The notice states that there was a lapse in branch oversight, particularly regarding the verification of center-wise denomination books and movement registers, indicating critical monitoring issues.

I respectfully submit that I have always discharged my duties with sincerity, integrity, and in accordance with the policies, procedures, and guidelines of the organization. At no point did I intentionally engage in any act of negligence or misconduct. If any unintentional lapse or oversight has occurred, I sincerely regret the same and assure you that it was never deliberate.

The branch was undergoing maintenance work, including painting and modifications to the premises. During this period, certain documents and registers were inadvertently misplaced. One denomination book was also misplaced during the maintenance work, which subsequently resulted in the audit observations. This was an unfortunate incident. The Branch Manager and branch staff were responsible for ensuring the proper safekeeping of branch records during the maintenance activities.

Further, during my branch visits, I have regularly verified the required registers and provided necessary guidance to the branch team for rectifying any discrepancies wherever required. The details of my branch visits and observations have also been duly updated in the system, which demonstrates that I have been carrying out my supervisory responsibilities diligently.

In view of the above facts, I humbly request you to kindly consider my explanation and take a view of the matter. I remain fully committed to maintaining the highest standards of professionalism, compliance, and effective supervision. I assure you that I will continue to exercise greater vigilance to prevent such incidents from occurring in the future.

Sunil Kumar Behera

Area Head | Operations

M:7978401630

Spandana Sphoorty Financial Limited
Bhadrak Area, Odisha



Integrity | Collaboration | Agility | Receptiveness | Empathy

From: DAPS Admin <dapsadmin@spandanasphoorty.com>

Sent: 01 July 2026 18:32

To: sunilkumarbehera005@gmail.com <sunilkumarbehera005@gmail.com>; Sunil Kumar Behera <sunilkumar.behera@spandanasphoorty.com>

Cc: Dalpreet Kaur <dalpreet.kaur@spandanasphoorty.com>; Prasanta Kumar Sahoo <prasantakumar.sahoo@spandanasphoorty.com>; Ranjeeta Gupta <ranjeeta.gupta@spandanasphoorty.com>

Subject: Show Cause Notice DC-FY-2026-05199

Dear Sunil Kumar Behera,

This is to bring your kind attention that the Case DC-FY-2026-05199, has been registered on 30/06/2026 on the charges of Non-Financial. Therefore, a show cause notice is attached herewith seeking your response (written explanation) on the above said allegations within 48 hours from the receipt of this notice, failing which the company will take necessary action as per its policies & procedures. To reply regarding this case, please [click here](#).