



## SPANDANA

Date: 07/07/2025

### **EX-PARTE INQUIRY REPORT**

#### **Background:**

This is with reference to the case registered on 07/04/2025, under Financial misconduct, with allegations of Collection Misappropriation against Mr./Ms./Mrs. **ashok srimanthula**, bearing the Emp. ID - SF0067413. The employee has not taken due care while discharging his/her duties, and the charges mentioned in:

The Detailed Show Cause Notice (DSCN) was issued on 05/05/2025. (Copy of the SCN letter is attached as **Annexure - I**)

A Notice of Inquiry was issued to the alleged employee, vide letter dated 28/05/2025, informing that Mr./Ms./Mrs. ashok srimanthula, to present him/her self for the Internal Inquiry proceedings which was addressed by the Disciplinary Inquiry committee/Officer, scheduled on 28/05/2025. (Copy of the Notice of Inquiry letter is attached as **Annexure -II**)

On the 28/05/2025 (Inquiry date), I/we along with other committee members were present; however, the alleged employee was not present for the Inquiry.

#### **Submissions from the Committee:**

The Inquiry Committee/Officers presented the version of the management along with the documents/evidence submitted, as stated below:

The Inquiry Committee/Officer submitted that, through the mail dated 07/04/2025 from Mr./Ms./Mrs. Rajakumar Chintaparthi SF0062679 Branch Manager, it was informed to the management that Mr./Ms./Mrs. ashok srimanthula SF0067413 Credit Assistant allegedly committed misconduct under Collection Misappropriation on 04/10/2023. The complaint mail is attached as **Annexure -III**.

Employee has not responded to the Show Cause Notice issued.

Thereafter, the Committee has validated the available records (reports) and factual information provided by the management in support of the charges made.

List of available records and information (evidence if any) presented during the inquiry proceedings:

Evidences:

1. [1744023945307\\_Re\\_FROUD.msg](#)

Fraud Investigation Files:

1. [1746445977191\\_Loan cards ashok srimanthula.zip](#)

1. [1746445977191\\_Mummadivaram Ashok Fraud Report.xlsx](#)

1. [1746445977191\\_Subledgers ashok srimanthula.zip](#)

Thereafter, an opportunity was given to Mr./Ms./Mrs. ashok srimanthula to verify/examine the witness/evidence in their defense.

### **Submissions from Alleged Employee:**

The alleged employee did not attend the inquiry proceedings, despite prior communication. The Inquiry was closed after confirming with the attending parties that they had full opportunity to present witnesses and evidence, and that nothing else was to be presented.

### **Observations:**

Observations of the inquiry committee/officers based on the evidence produced/submitted by the alleged employee and/or complainant.

1. **Member Id:** SF0070812 **Name:** Nageswara Rao Bodapally **Department:** Operations  
**Decision:** Drop Charges **Committee type:** CommitteeMembers  
**Observation:** Give last chance to him if he not respond on this we take action against him  
**Conclusion:** Yes
2. **Member Id:** SF0072406 **Name:** Ashwin Kumar Nanduri **Department:** Internal Audit  
**Decision:** Termination **Committee type:** CommitteeMembers  
**Observation:** Fraud was confirmed regarding the employee, and a detailed report has been sent. The issue resulted in termination.  
**Conclusion:** Yes
3. **Member Id:** SF0091077 **Name:** Shivaraj MH **Department:** Human Resource  
**Decision:** Termination **Committee type:** CommitteeMembers  
**Observation:** Employee collected the collection amount form borrowers and signed the loan cards but not given entries in FIMO. He used that collection amount for his personal purpose.  
**Conclusion:** Yes

### **Inquiry Findings:**

Decision pending.

Inquiry Committee / Officer

Date: 07/07/2025

### **Annexures**

- [Annexure - 1 \( Show Cause Notice \)](#)
- [Annexure - 2 \( Notice of Inquiry \)](#)