

arpitkumar.jain < arpitkumar.jain@spandanasphoorty.com >

RE: NOI Session - UP_HY State -16092026

Arpit Jain < arpitkumar.jain@spandanasphoorty.com >

Mon, 21 Sep 2026 4:15:11 PM +0530

To "Ajay Gautam"<ajay.gautam@spandanasphoorty.com>,"ashishkumar.mishra"
<ashishkumar.mishra@spandanasphoorty.com>

Cc "Vipin Yadav"<vipin.yadav@spandanasphoorty.com>,"shalini.maurya"<shalini.maurya@spandanasphoorty.com>,"Jasthi
Venkata Rama Krishna Chowdary"<ramakrishna.jasthi@spandanasphoorty.com>

Dear Sir,

As per discussion, all cases are mapped to you.

Please submit observation as per committee decision as per below remarks

Complaint Number	Date of Complaint Raised	Case ID	Type of Complaint	Case Nature	Employee Code
FN26-27-00902	03-Jul-2026	DC-FY-2026-05513	Financial	Pre-closure Misappropriation	SF0078831
FN26-27-00786	17-Jun-2026	DC-FY-2026-04782	Financial	Pre-closure Misappropriation	SF0063987
FN26-27-01067	21-Jul-2026	DC-FY-2026-06399	Financial	Advance Collection Amount Misappropriated	SF0103141
FN26-27-01004	14-Jul-2026	DC-FY-2026-06124	Financial	Collection Misappropriation	SF0077123
NF26-27-00791	28-Aug-2026	DC-FY-2026-08095	Non-Financial	Policy Violation against Code of Conduct,Fake Documentation	SF0097324
NF26-27-00790	28-Aug-2026	DC-FY-2026-08096	Non-Financial	Policy Violation against Code of Conduct,Fake Documentation	SF0088096
NF26-27-00784	27-Aug-2026	DC-FY-2026-08097	Non-Financial	Process lapse	SF0085488

Thanks & Regards,

Arpit Kumar Jain

State HR | Human Resource

M:9660031675

Spandana Sphoorty Financial Limited

1st Floor, Vaishali Tower-1, Near Nursary Circle, Vaishali Nagar, Jaipur - 302021

From: Ajay Gautam <ajay.gautam@spandanasphoorty.com>

To: "Vipin Yadav" <vipin.yadav@spandanasphoorty.com>

Cc: "arpitkumar.jain" <arpitkumar.jain@spandanasphoorty.com>, "ashishkumar.mishra" <ashishkumar.mishra@spandanasphoorty.com>, "karm.veer" <karm.veer@spandanasphoorty.com>, "ashutosh.pandey" <ashutosh.pandey@spandanasphoorty.com>, "shalini.maurya" <shalini.maurya@spandanasphoorty.com>, "suman.v" <suman.v@spandanasphoorty.com>, "dileep.yadav" <dileep.yadav@spandanasphoorty.com>, "hariom.singh" <hariom.singh@spandanasphoorty.com>, "amarnath.yadav" <amarnath.yadav@spandanasphoorty.com>

Date: Mon, 21 Sep 2026 15:47:10 +0530

Subject: RE: NOI Session - UP_HY State -16092026

Hi Arpit,

below Emp are not showing in my Daps , in rest cases i have updated my remark.
kindly checked and confirm.

Employee Code	Name of the Staff Involved	Branch Name
SF0103141	Kanhaiya	Hathras
SF0077123	Sonu kumar	Sikandra Rau
SF0097324	Ashendra Singh	Daurala

Ajay Gautam

State Head | Operations

M:9721666671

Spandana Sphoorty Financial Limited

11A/31 2nd Floor Vrindavan Yojana, RBL Road Near Khusbhu Place Lucknow, Uttar Pradesh, 226029.



Integrity | Collaboration | Agility | Receptiveness | Empathy

From: Vipin Yadav <vipin.yadav@spandanasphoorty.com>
To: <arpitkumar.jain@spandanasphoorty.com>
Cc: <ajay.gautam@spandanasphoorty.com>, <ashishkumar.mishra@spandanasphoorty.com>, <karm.veer@spandanasphoorty.com>, <ashutosh.pandey@spandanasphoorty.com>, <shalini.maurya@spandanasphoorty.com>, <suman.v@spandanasphoorty.com>, <dileep.yadav@spandanasphoorty.com>, <hariom.singh@spandanasphoorty.com>, <amarnath.yadav@spandanasphoorty.com>
Date: Fri, 18 Sep 2026 20:01:42 +0530
Subject: RE: NOI Session - UP_HY State -16092026

Ajay sir

Why so many cases are pending? Why you all are not getting serious?

Regards
Vipin Y.

----- On Fri, 18 Sep 2026 18:28:51 +0530 **Arpit Jain** <arpitkumar.jain@spandanasphoorty.com> wrote

Dear Sir,
We have conducted NOI session yesterday and requesting your observation in DAPS portal on below cases:

Sr. No.	Complaint Number	Date of Complaint Raised	Case ID	Type of Complaint	Case Nature	Employee Code
1	FN26-27-00786	17-Jun-2026	DC-FY-2026-04782	Financial	Pre-closure Misappropriation	SF00639
2	FN26-27-00902	03-Jul-2026	DC-FY-2026-05513	Financial	Pre-closure Misappropriation	SF00788

3	FN26-27-00827	23-Jun-2026	DC-FY-2026-04949	Financial	Collection Misappropriation	SF00748
4	NF26-27-00784	27-Aug-2026	DC-FY-2026-08097	Non-Financial	Process lapse	SF00854
5	NF26-27-00790	28-Aug-2026	DC-FY-2026-08096	Non-Financial	Policy Violation against Code of Conduct,Fake Documentation	SF00880
6	NF26-27-00791	28-Aug-2026	DC-FY-2026-08095	Non-Financial	Policy Violation against Code of Conduct,Fake Documentation	SF00973
7	FN26-27-01067	21-Jul-2026	DC-FY-2026-06399	Financial	Advance Collection Amount Misappropriated	SF01031
8	FN26-27-01004	14-Jul-2026	DC-FY-2026-06124	Financial	Collection Misappropriation	SF00771

Thanks & Regards,

Arpit Kumar Jain

State HR | Human Resource

M:9660031675

Spandana Sphoorty Financial Limited

1st Floor, Vaishali Tower-1, Near Nursary Circle, Vaishali Nagar, Jaipur - 302021

From: Jasthi Venkata Rama Krishna Chowdary <ramakrishna.jasthi@spandanasphoorty.com>

To: "Arpit Kumar Jain" <arpitkumar.jain@spandanasphoorty.com>, "Ajay Gautam"

<ajay.gautam@spandanasphoorty.com>, "Ashish Kumar Mishra" <ashishkumar.mishra@spandanasphoorty.com>

Cc: "Karm Veer" <karm.veer@spandanasphoorty.com>, "Ashutosh Pandey" <ashutosh.pandey@spandanasphoorty.com> ,

"Shalini Maurya" <shalini.maurya@spandanasphoorty.com>, "Suman Vishwakarma" <suman.v@spandanasphoorty.com> ,

"Ashish Kumar Mishra" <ashishkumar.mishra@spandanasphoorty.com>, "Dileep Kumar Yadav"

<dileep.yadav@spandanasphoorty.com>, "Hariom Singh" <hariom.singh@spandanasphoorty.com>, "Amarnath Yadav" <