



## SPANDANA

Date: 05/12/2025

### **EX-PARTE INQUIRY REPORT**

#### **Background:**

This is with reference to the case registered on 18/04/2025, under Financial misconduct, with allegations of Collection Misappropriation against Mr./Ms./Mrs. **Pramod Yadav**, bearing the Emp. ID - SF0049004. The employee has not taken due care while discharging his/her duties, and the charges mentioned in: The Detailed Show Cause Notice (DSCN) was issued on 30/04/2025. (Copy of the SCN letter is attached as **Annexure - I**)

A Notice of Inquiry was issued to the alleged employee, vide letter dated 03/06/2025, informing that Mr./Ms./Mrs. Pramod Yadav, to present him/her self for the Internal Inquiry proceedings which was addressed by the Disciplinary Inquiry committee/Officer, scheduled on 04/06/2025. (Copy of the Notice of Inquiry letter is attached as **Annexure -II**)

On the 04/06/2025 (Inquiry date), I/we along with other committee members were present; however, the alleged employee was not present for the Inquiry.

#### **Submissions from the Committee:**

The Inquiry Committee/Officers presented the version of the management along with the documents/evidence submitted, as stated below:

The Inquiry Committee/Officer submitted that, through the mail dated 18/04/2025 from Mr./Ms./Mrs. Vikas Shrivastav SF0003098 State Auditor, it was informed to the management that Mr./Ms./Mrs. Pramod Yadav SF0049004 Branch Manager allegedly committed misconduct under Collection Misappropriation on 02/08/2023. The complaint mail is attached as **Annexure -III**.

The alleged employee's presented reply to the Detailed Show Cause Notice via letter dated 08/05/2025, which is attached as **Annexure - V**.

Thereafter, the Committee has validated the available records (reports) and factual information provided by the management in support of the charges made.

List of available records and information (evidence if any) presented during the inquiry proceedings:

Evidences:

1. [1744972139897\\_LO Pramod Yadav \(SF0049004\) EMI Fraud\\_Manjhanpur\\_UP2800 Branch.msg](#)

Fraud Investigation Files:

1. [1745998452450\\_Evidence.zip](#)
1. [1745998452450\\_Fraud Investigation Report UP Manjhanpur UP2800.xlsx](#)
1. [1745998452450\\_Fw\\_36231 OD Issue - FINS open & write off screen no data 34645299.eml](#)

Thereafter, an opportunity was given to Mr./Ms./Mrs. Pramod Yadav to verify/examine the witness/evidence in their defense.

### **Submissions from Alleged Employee:**

The alleged employee did not attend the inquiry proceedings, despite prior communication. The Inquiry was closed after confirming with the attending parties that they had full opportunity to present witnesses and evidence, and that nothing else was to be presented.

### **Observations:**

Observations of the inquiry committee/officers based on the evidence produced/submitted by the alleged employee and/or complainant.

- Member Id:** SF0076555 **Name:** Arvind Kumar Maurya **Department:** Internal Audit  
**Decision:** Termination **Committee type:** CommitteeMembers  
**Observation:** As per loan card LO Pramod Yadav/SF0049004 was collected EMI Rs. 1650\*4=6600 from borrower Anita Kumari\_34645299 on dated 02-08-2023 02-09-2023 02-10-2023 02-11-2023 But the same was not posted in FIMO. (Sign available on loan card)  
**Conclusion:** Yes
- Member Id:** SF0071589 **Name:** Mohit Kishore Prasad **Department:** Human Resource  
**Decision:** Termination **Committee type:** CommitteeMembers  
**Observation:** Staff is inactive and fraud amount to be recovered with L1 misconduct  
**Conclusion:** Yes
- Member Id:** SF0071928 **Name:** Vipin Yadav **Department:** Operations  
**Decision:** Termination **Committee type:** CommitteeMembers  
**Observation:** STAFF WAS NOT PRESENT IN THE ENQUIRY AND INACTIVE  
**Conclusion:** Yes

### **Inquiry Findings:**

**On hearing both the sides, statements recorded above and reviewing the supporting evidence submitted by both the parties, it is thereby concluded that there is adequate evidence to support the charges, and Mr./Ms./Mrs. Pramod Yadav has violated Company's rules and is guilty of the charges mentioned under the said category in the Detailed Show Cause Notice (DSCN) issued on 30/04/2025.**

Inquiry Committee / Officer

Date: 05/12/2025

### **Annexures**

- [Annexure - 1 \( Show Cause Notice \)](#)
- [Annexure - 2 \( Notice of Inquiry \)](#)